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Patent  
Case No.: 56964US002

**OFFICIAL**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: SIMMONS, RICHARD L.

Application No.: 09/927663

Group Art Unit: 2839

Filed: August 10, 2001

Examiner: Chandrika Prasad

Title: THREE DIMENSIONAL OPTICAL CIRCUITS

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**COMMUNICATION AFTER NOTICE OF ALLOWANCE**

Mail Stop: Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF TRANSMISSION	
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I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office on:	
4-14-04	<i>Melanie Gover</i>
Date	Signed by: Melanie G. Gover

Dear Sir:

The undersigned respectfully requests that the Examiner return the PTO-1449 forms included with the Information Disclosure Statements dated August 27, 2002 and March 22, 2002, (both resubmitted on September 25, 2003), acknowledging consideration of the references listed thereon. Copies of the forms are enclosed for the Examiner's convenience.

The issue fee due on June 4, 2004, has not yet been paid.

Respectfully submitted,

April 14, 2004  
Date

By: Melanie Gover  
Melanie G. Gover, Reg. No.: 41,793  
Telephone No.: (512) 984-4308

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets if necessary)

Page 1 of 1

Application Number	09/927663
Filing Date	August 10, 2001
First Named Inventor	Simmons, Richard L.
Art Unit	2633
Examiner Name	Prasad, Chandrika
Attorney Case Number	56964US002

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code If Known)			
A1	US- 5,134,673		07/28/1992	Stephenson et al.	
A2	US- 5,155,785		10/13/1992	Holland et al.	
A3	US- 5,204,925		04/20/1993	Bonanni et al.	
A4	US- 5,222,179		06/22/1993	Auteri	
A5	US- 5,239,609		08/24/1993	Auteri	
A6	US- 5,367,595		11/22/1994	Jennings et al.	
A7	US- 5,381,501		01/10/1995	Cardinal et al.	
A8	US- 5,394,502		02/28/1995	Caron	
A9	US- 5,734,777		03/31/1998	Merriken et al.	
A10	US- 5,774,617		06/30/1998	Stockman et al.	
A11	US- 5,926,598		07/20/1999	Klein	
A12	US- 5,937,133		08/10/1999	Moss et al.	
A13	US- 6,005,991		12/21/1999	Knasel	
A14	US- 6,034,821		03/07/2000	Schenfeld et al.	
A15	US- 6,088,493		07/11/2000	Brenner	
A16	US- 6,151,437		11/21/2000	Cherbettchian et al.	
A17	US- 6,148,134		11/14/2000	Schoon	
A18	US- 6,185,348 B1		02/06/2001	Shahid	
A19	US- 6,222,976 B1		04/24/2001	Shahid	

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check If Yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	WO	99/45719 A1	09/10/1999			
	B2	EP	1 180 708 A2	02/20/2002			

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

*Examiner:	Date Considered:
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO (mc)

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(Use as many sheets if necessary)

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Art Unit	2633
Examiner Name	Unassigned
Attorney Case Number	56964US002

## **U.S. Patent Documents**

## **Foreign Patent Documents**

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B2	EP	1 180 708 A2	02/20/2002			Yes

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

**\*Examiner:** \_\_\_\_\_ **Date Considered:** \_\_\_\_\_  
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.